



University of
South-Eastern Norway

IND4080 – Research Methods Course
Day 3, Sept. 2019

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Research ethics

National system and USN system

GDPR

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1. Research ethics

The concept of "research ethics" refers to a diverse set of values, standards, and institutional arrangements that contribute to constituting and regulating scientific activities.

Research ethics also concerns relations among researchers and relations between researchers and other people.

<https://www.etikkom.no/en/>

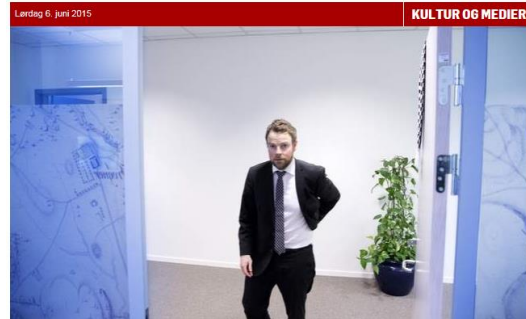
The Norwegian National Research Ethics Committees

Independent agencies for questions regarding research ethics, and investigation of misconduct, within all subject areas

- *The National Committee for*
 - *Medical and Health Research Ethics (NEM)*
 - *Research Ethics in Science and Technology (NENT)*
 - *Research Ethics in the Social Sciences and the Humanities (NESH)*
 - *Research Ethics on Human Remains*
- *The National Commission for the Investigation of Research Misconduct (GRU)*



The Norwegian legislation and system



Endre, Kunnskapsminister Torbjørn Røe Isaksen (H) vil endre forskningsetikkloven for å bekjempe forskerjuks. Foto: Tom Henning Bratlie

Av Torbjørn Tumyr Nilsen

• Regjeringen frykter mer juks i forskningen • Ønsker å stramme inn loven om forskningsetikk

Nå skal jukserne tas

19 10 Skriv ut

FAKTA

Endret lov om forskningsetikk:

- Regjeringen forbereder endringer i forskningsetikkloven som vil gi universiteter og høyskoler økt ansvar.
- Blant annet skal alle nå ha utvalg som overvåker forskningen for juks og feil.
- Internasjonalisering og publiseringskrav presser forskningsetikken.
- Mandag arrangeres konferansen «Å gjøre det riktige: forskningsinstitusjonene ansvar for forskningsetikk» i Oslo.

Økt internasjonal konkurranse blant forskere øker faren for juks, mener kunnskapsministeren. Nå vil han ha et tøffere lovverk mot juks og slurv.

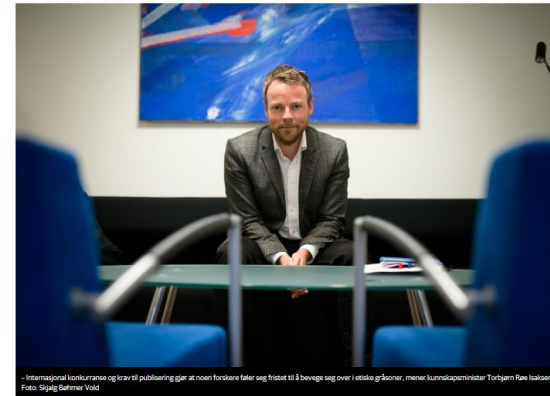
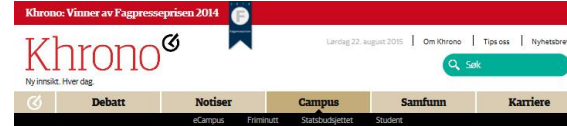
FORSKNING

Alle norske universiteter og høyskoler skal i framtida ha egne utvalg for å stoppe juks og slepphendt forskning.

Det blir resultatet hvis regjeringen får gjennomslag for sine endringer av forskningsetikkloven.

— Internasjonal konkurranse og krav til publisering gjør at noen forskere føler seg fristet til å bevege seg over i etiske gråsoner, sier kunnskapsminister Torbjørn Røe Isaksen (H).

Det er Forskningsetikkloven fra 2007 som nå skal endres. Den har noen åpenbare hull, mener Isaksen.



Internasjonal konkurranse og krav til publisering gjør at noen forskere føler seg fristet til å bevege seg over i etiske gråsoner, mener kunnskapsminister Torbjørn Røe Isaksen. Foto: Sjøberg/Isaksen/Isaksen



TEKST: TOVE LIE



Forskning. Statsråd Torbjørn Røe Isaksen varsler endring i loven om forskningsetikk. Nå skal det bli tøffere å være dem som slurver og fuser i faget.

Dersom statsråd Torbjørn Røe Isaksen (H) får det som han vil skal alle norske universiteter og høyskoler i framtida ha egne utvalg for å stoppe juks og slepphendt forskning.

Fakta

Konferanse om forskningsetikk

Mandag 8. juni arrangeres det forskningsetiske konferansen om «Å gjøre det riktige».

Er det et økende problem at forskere beveger seg ut i den etiske gråsonen i løpet på spesialiserings-

"RINO - Research Integrity in Norway"

- New report on research integrity in Norway – 7 300 responders
- <https://www.etikkom.no/en/news/news-archive/news-2018/new-report-on-research-integrity-in-norway/>
 - Few know how to handle research ethical misconduct
 - Few have had courses in research ethics



2. The system at USN



USNs Research ethical framework

- Two main purposes

- to promote good research ethics
- to prevent, uncover and treat all unwanted behaviour in research at USN

- Three main elements

- research ethical reflection points
- plan for institutional implementation of research ethics
- mandate for and process of the research integrity committee (redelighetsutvalget)



Research ethical reflection points

- Openness and academic freedom
- Honesty and respect
- Integrity and truthful research practice
- Research collaboration
- Publishing and co-authorship
- Social responsibility
- Professional responsibility and quality of research
- Competence
- Commissioned research



How to handle research ethical challenges at USN

- **Discuss with**
 - colleagues
 - research groups
 - leader
- **Guidance**
 - Department of Research and Internationalisation
 - Norwegian National Research Ethics Committees
 - Regional Committees for Medical and Health Research Ethics (REK)
 - Norwegian Social Science Data Service (NSD)
- **Assessment**
 - Vice rector of research Jarle Bjerkholt
 - the research integrity committee
 - Examination Committee of the Research Ethics



3. Plagiarism

Plagiarism occurs when you use others' text, ideas, wording, images etc. without making the necessary citations.

<https://bibliotek.usn.no/cite-and-write/plagiarism/>

4. GDPR – the General Data Protection Regulation



The EU General Data Protection Regulation (GDPR)

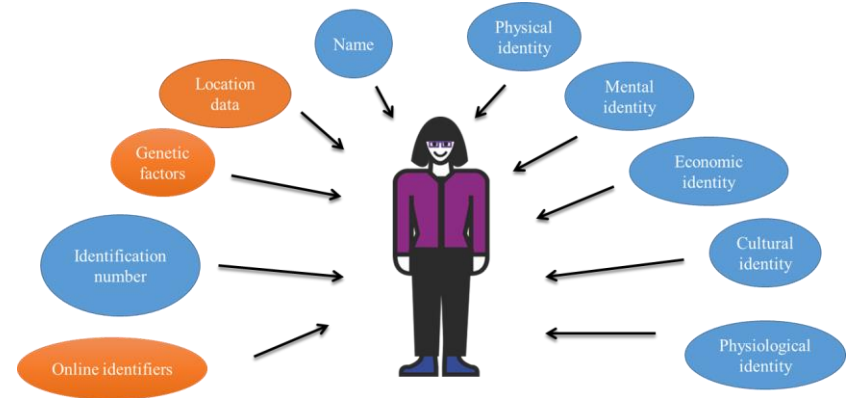
- Came into Force 25. mai 2018
- Focus on the protection of personal data and the free movement of such data:
 - Harmonise privacy legislation throughout the EU/EEA
 - Make it easier to share data across borders
 - Update the legislation in order to make Europe fit for the digital age
 - Ensure consistent high-level data protection
 - Guarantee legal certainty and establish a foundation of trust by providing citizens' rights to privacy.
- Along with the Norwegian Personal Data Act and Health Research Act, these regulations govern the processing (holding or using) of personal data in Norway
- Datatilsynet is the Norwegian regulator

What are personal data?

- **Personal data are information that can be used to identify individual persons:**
 - directly through name, personal identification number, or other unique personal identifiers
 - indirectly through a combination of background information, such as place of residence or institutional affiliation, age, gender, occupation, diagnosis, etc.
 - by being traceable to an e-mail or IP address (e.g. [online surveys](#))
 - through a number that is linked to a list of names ([scrambling key](#))
- **Sensitiv data/ special categories of personal data**
 - Information relating to racial or ethnic origin, political opinions, religious or philosophical beliefs, trade-union membership, health, sex life or sexual orientation, or genetic or biometric data for the purpose of uniquely identifying a natural person.
 - Data relating to criminal convictions and offences are treated similarly.

How will you process personal data in your project?

- 'Processing' means any operation or set of operations which are performed on personal data. This includes collecting, recording, organising, storing, sharing, publishing personal data.
 - electronically / using electronic devices (e.g. by processing text, audio or video files on a computer, USB or smartphone), and/or
 - by manually storing sensitive data that are linked to names or personal identification numbers



Is my research project subject to notification to NSD?

- [Notification test](#)
- How to carry out a project without [notification](#)
- How does the form look?

<http://www.nsd.uib.no/permalink/db78257b-a795-11e8-8f25-0015c5f28dc0.pdf>

USNs handling of GDPR

- All research organisations must specify a lawful basis for data processing.
- USN is obliged to have a Data protection Officer (DPO)
 - DPOs main task is to inform and advice concerning obligations pursuant to GDPR.
 - USNs DPO is Paal Are Solberg personvernombud@usn.no
- USN has an agreement with NSD – Norwegian Centre for Research Data (NSD)
 - **NSD** assist USN in fulfilling our statutory duties relation to internal control and quality assurance of our **research** activity
 - **If your research is handling personal data, you are obliged to send a Notification to NSD.**
 - When you fill out your notification, you have to add both information about USNs DPO and NSD as our Data Protection Adviser.
- NB! If your research aims to generate new knowledge about health and disease, you also have to get an approval from Regional Comitees for Medical and Health Research Ethics (REC)
- USN has made our own guidelines for handling of personal data, see <https://www.usn.no/om-usn/regelverk/>
 - Guidelines for processing personal data in student and research projects at the University of South-Eastern Norway”
 - Guidelines for processing audio files in research at USN (only in Norwegian version)

Questions about research ethics at USN?

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